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TC 1700

Attorney's Docket No.: 42390.P8136

Patent

In re the Application of: Kenneth C. Cadien et al.

(inventor(s))

Application No.: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL MECHANICAL POLISHING

Mail Stop RCE
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450

(title)

SIR: Transmitted herewith is a Preliminary Amendment for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

X No additional fee is required.

The fee has been calculated as shown below:

| | (Col. 1) Claims Remaining After Amd. | | (Col. 2) Highest No. Previously Paid For | (Col. 3) Present Extra |
|--|---|-------|---|------------------------------|
| Total Claims | * 14 | Minus | ** 20 | 0 |
| Indep. Claims | * 3 | Minus | *** 4 | 0 |
| First Presentation of Multiple Dependent Claim(s) | | | | |

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY

| Rate | Additional Fee |
|-------------------|-------------------|
| X9 | \$ |
| X42 | \$ |
| +140 | \$ |
| Total Add. Fee | \$ |

OTHER THAN A
SMALL ENTITY

| Rate | Additional Fee |
|-------------------|-------------------|
| X18 | \$ 0 |
| X84 | \$ 0 |
| +280 | \$ |
| Total Add. Fee | \$ 0 |

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on September 12, 2003

Date of Deposit

Claire Walters

Name of Person Mailing Correspondence

Claire Walters

Signature

9/12/2003

Date

_____ A check in the amount of \$ _____ is attached for presentation of additional claim(s).
Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to
37 C.F.R. § 1.136(a).

_____ A check for \$ _____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$ _____.

_____ **A duplicate copy of this sheet is enclosed.**

X _____ The Under Secretary of Commerce for Intellectual Property and Director of the United States
Patent and Trademark Office is hereby authorized to charge payment of the following fees associated
with this communication or credit any overpayment to Deposit Account No. 02-2666 (a duplicate copy
of this sheet is enclosed):

X _____ Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of
extra claims.

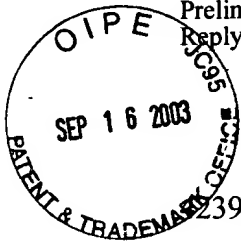
X _____ Any extension or petition fees under 37 C.F.R. § 1.17.

Date: 9/12/ 2003

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(408) 720-8300

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Heather M. Molleuf
Heather M. Molleuf
Reg. No. 50,432



Appl. No. 09/473,391
Preliminary Amdt. dated September 12, 2003
Reply to Office Action of June 12, 2003

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. Serial No: 09/473,391

Filed: December 28, 1999

For: ABRASIVES FOR CHEMICAL
MECHANICAL POLISHING

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Conf. No.: 9927

Examiner: Chen, Kin Chan

Art Unit: 1765

PRELIMINARY AMENDMENT

Dear Sir:

In response to the Final Office Action mailed June 12, 2003 and the Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 filed concurrently herewith, Applicant respectfully requests the Examiner to enter this preliminary amendment and consider the remarks prior to examination of the above referenced case.

FIRST CLASS CERTIFICATE OF MAILING

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